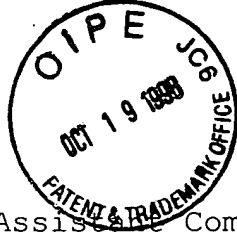


MICHAELSON & WALLACE

A Partnership of Intellectual Property and Technology Lawyers

October 9, 1998

PATENT APPLICATION



Assistant Commissioner for Patents

BOX MISSING PARTS

Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicants: **Jonathan TAMS, Mark A. PEARCE,
Robin IDDON, Ronnie BROWN**

Title of application: **METHODS AND APPARATUS FOR
COLLECTING, STORING, PROCESSING
AND USING NETWORK TRAFFIC DATA**

Serial No.: **09/131,717** Filed: **August 10, 1998**

Attorney Docket No.: **3Com-7(1611.NMD.US.P)**

We enclose the following material herewith:

- XX** Postcard receipt;
- XX** Our check in the amount of \$ 170.00 to cover the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 and assignment recordation fee of \$ 40.00;
- XX** Executed Declaration and Power of Attorney (6 pps);
- XX** Assignment with Recordation Form Cover Sheet to 3Com Corporation (3 pps); and
- XX** Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

In the event, we have indicated that a check is enclosed and this check is missing, is for an insufficient amount or is unacceptable in any way, please charge the applicable fees to our Deposit Account

Peter L. Michaelson
NJ, NY, PA Bars

Robert M. Wallace
NY, CA Bars

Edmond A. DeFrank
CA Bar

John C. Pokotylo
NJ, NY Bars

Michael P. Straub
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Please reply:

- ☒ Parkway 109 Office Ctr.
328 Newman Springs Rd.
P. O. Box 8489
Red Bank, NJ 07701
Tel: 732-530-6671
Fax: 732-530-6584 or
732-530-6585

Videoconference:
732-224-0132 (ISDN)

- ☐ 1001 Partridge Drive
Suite 330
Ventura, CA 93003
Tel: 805-644-4035
Fax: 805-644-8046

Number **13-3083**. A copy of this letter is also enclosed herewith.

Respectfully submitted,


MICHAELSON & WALLACE

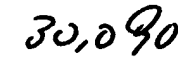


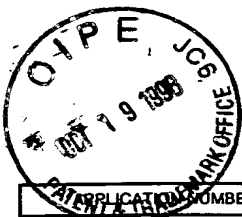
Peter L. Michaelson, Attorney
Reg. No. 30,090
(732) 530-6671

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **October 13, 1998** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.


Signature


Reg. No.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

PATENT APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/131,717 08/10/98 TANS

3COM-7

#3

007066
MICHAELSON AND WALLACE
FARMWAY 109 OFFICE CENTER
328 NEWMAN SPRINGS RD.,
P.O. BOX 8489
RED BANK NJ 07701

0232/0326

NOT ASSIGNED

2756

DATE MAILED:

08/26/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

10/22/1998 LSNEED 00000036 0831717 130.00 DP 01 FC:105